



# **Presentation to the National Lotteries Board Indaba**


by

## **National Lotteries Board & Bench Marks Centre for CSR (North-West University)**


**23 September 2014**

**Birchwood Conference Centre, Johannesburg**

# 1. INTRODUCTION


- **Need for the development of high level Norms and Standards for Funding by the NLB**
  - **Document is intended to provide insight into the NLB's mandate, internal structure, policy and processes**
  - **Draft High Level Norms and Standards for Grant Funding were prepared in response to the 2012 Indaba hosted by the NLB**
  - **High Level Norms and Standards for Grant Funding were aligned with the NLB Funding Policy Framework and Funding Policy**
- 

## **2. PURPOSE AND TARGET AUDIENCE**

- **At previous NLB Indabas the need for norms and standards were expressed**
  - **The NLB wants clear mandate in terms of beneficiaries and priorities**
  - **To clarify the mandate, generic principles and core guiding values are the backbone to the NLB approach**
  - **NLB processes and structures are aligned with global funding principles and international best practices**
- 

## **2. PURPOSE AND TARGET AUDIENCE**

**Developed for the benefits of all stakeholders including:**

- civil society organisations**
  - associations and institutions**
  - existing and prospective beneficiaries of the NLB**
  - government departments and parastatals**
  - private and public companies**
  - national and international funding institutions interested in developing partnerships in SA**
  - NLB staff, associates and suppliers, and the general public**
- 

# **3. NORMS & STANDARDS DESCRIPTION**

- **Norms and Standards for Grant Funding will remain a living document with continuous change & development**
- **This document reflects current operations and can be used by NLB Stakeholders as a guide to understand NLB's norms and standards**
- **This document grew out of a continued effort of the NLB as an institution to make sustainable impact on South African society**
- **Develop common principles and standards for funding assistance to all stakeholders**



# 4. OBJECTIVES

- **Overarching objective: develop norms and standards for the NLB**
- **Sub-objectives:**
  - ✓ **Establish a clear mandate**
  - ✓ **Ensure continuous alignment with Government Outcomes, NDP and medium term strategic framework (MTSF, 2014-2019)**
  - ✓ **Reflect transparency and accountability**
  - ✓ **Ensure compliance with statutory content**
  - ✓ **Effective grant funding processes**
  - ✓ **Improve communication, strengthen relationships**



# 4. OBJECTIVES

- ✓ **Encourage strategic partnerships and stakeholders' engagement**
  - ✓ **Capacity building and empowerment of beneficiaries**
  - ✓ **Create instruments for impact assessment**
  - ✓ **Align the NLB's funding approach with global funding principles and international best practices**
  - ✓ **Support sustainable development in South Africa**
  - ✓ **Contribute to Nation Building and Transformation**
- 

# 5. RESEARCH METHODOLOGY

- Literature procedure and stakeholder engagement procedure
- Qualitative data collection
- Consultative processes and procedures
  - ✓ Workshops with NLB management and staff
  - ✓ Workshops with DAs
  - ✓ Workshops with beneficiaries (still to take place)
- Data analysis - thematic pattern-building





# 6. NLB BACKGROUND

- **NLB subscribed to the following core values:**
  - ✓ **Integrity**
  - ✓ **Performance Excellence**
  - ✓ **Service Excellence**
  - ✓ **Respect**
  - ✓ **Commitment to a developmental and participatory approach to grant making**
  - ✓ **Social Consciousness**
  - ✓ **Partnership Building**



# 7. STATUTORY CONTENT


- **NLB is regulated by the Lotteries Act, 1997 (Act 57 of 1997)**
- **Establishment, composition and functions of the NLB are prescribed by the Act**
- **The Amendments to the Act as approved in December 2013 increase the decision-making powers of the Board, changing procedure regarding DAs, and it effects a name change to the National Lotteries Commission**



# 8. UNIVERSAL PRINCIPLES

- **International CSR Standards and Norms**
  - **World Lottery Association (WLA)**
  - **United Nations Millennium Development Goals**
  - **National Development Plan of South Africa/MTSF**
  - **National Funds to Support Civil Society Organisations**
  - **International Statement of Ethical Principles in Fundraising**
- 

# 8. UNIVERSAL PRINCIPLES

- **Code of Ethics & Conduct for NGOs**
  - **Codes of Good Practice for South African Non-profit Organisations (NPOs)**
  - **The Independent Code of Governance for Non-Profit Organisations in South Africa**
  - **Best Practices for Funding and Evaluating Think Tanks & Policy Research**
  - **Commonwealth Grant Guidelines, Second edition**
- 

# 9. STRATEGIC ALIGNMENT OF NLB WITH NDP/MTSF

- From the 13 NDP goals, the following 9 intervention areas may be indirectly supported by the NLB:
  - ✓ Economy and employment
  - ✓ Transition to a low carbon economy
  - ✓ Inclusive rural economy
  - ✓ Human Settlements
  - ✓ Improving education, innovation and training
  - ✓ Promoting health
  - ✓ Social protection
  - ✓ Promoting accountability and fighting corruption
  - ✓ Transforming society and uniting the country



# 10. SECTORS FUNDED BY THE NLB

- Charities – 45%
- Sports and Recreation – 22%
- Arts, Culture and National Heritage – 28%
- Miscellaneous – 5%



# 11. NLB NORMS AND STANDARDS FOR GRANT FUNDING

- **The Funding Process and Funding Models**
  - ✓ **Call-driven Strategy**
  - ✓ **Proactive Funding**
- **Categories for Funding**
- **Distribution of Available Funds to the Categories and Provinces**
- **Prioritised Funding within the Categories**




# 11. NLB NORMS AND STANDARDS FOR GRANT FUNDING

- **Funding process:**
  - ✓ **Call for Funding Application**
  - ✓ **Receipt and Screening of Applications**
  - ✓ **Pre-Adjudication Processing and Assessment of Applications**
  - ✓ **Adjudication Process**
  - ✓ **Post-Adjudication Process and Payment of Grant**
  - ✓ **Monitoring, Evaluation and Reporting**





# 12. BENEFITS TO NLB & STAKEHOLDERS

- **Renewed focus on core values of the NLB (e.g. integrity and service excellence)**
  - **Clarification of critical areas (e.g. prioritised funding and accessibility to funding opportunities)**
  - **Reiterating the importance of stakeholder participation (e.g. input at Indabas and workshops with beneficiaries)**
  - **Enhancement of a sustainable funding model in terms of the NDP/MTSF**
- 

# **13. GUIDELINES FOR SUCCESSFUL APPLICATIONS**

- **Familiarise with current trends in the donor environment**
- **Understand whether organisation match the objectives and meet the criteria of the prospective donor/s**
- **Formulate a strategy on how to approach funders**



***THANK YOU!***

