



Presentation to the National Lotteries Board Indaba

by


National Lotteries Board & Bench Marks Centre for CSR (North-West University)

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1. INTRODUCTION


- **Need for the development of high level Norms and Standards for Funding by the NLB**
 - **Document is intended to provide insight into the NLB's mandate, internal structure, policy and processes**
 - **Draft High Level Norms and Standards for Grant Funding were prepared in response to the 2012 Indaba hosted by the NLB**
 - **High Level Norms and Standards for Grant Funding were aligned with the NLB Funding Policy Framework and Funding Policy**
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2. PURPOSE AND TARGET AUDIENCE

- **At previous NLB Indabas the need for norms and standards were expressed**
 - **The NLB wants clear mandate in terms of beneficiaries and priorities**
 - **To clarify the mandate, generic principles and core guiding values are the backbone to the NLB approach**
 - **NLB processes and structures are aligned with global funding principles and international best practices**
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2. PURPOSE AND TARGET AUDIENCE

Developed for the benefits of all stakeholders including:

- civil society organisations**
 - associations and institutions**
 - existing and prospective beneficiaries of the NLB**
 - government departments and parastatals**
 - private and public companies**
 - national and international funding institutions interested in developing partnerships in SA**
 - NLB staff, associates and suppliers, and the general public**
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3. NORMS & STANDARDS DESCRIPTION

- **Norms and Standards for Grant Funding will remain a living document with continuous change & development**
- **This document reflects current operations and can be used by NLB Stakeholders as a guide to understand NLB's norms and standards**
- **This document grew out of a continued effort of the NLB as an institution to make sustainable impact on South African society**
- **Develop common principles and standards for funding assistance to all stakeholders**



4. OBJECTIVES

- **Overarching objective: develop norms and standards for the NLB**
- **Sub-objectives:**
 - ✓ **Establish a clear mandate**
 - ✓ **Ensure continuous alignment with Government Outcomes, NDP and medium term strategic framework (MTSF, 2014-2019)**
 - ✓ **Reflect transparency and accountability**
 - ✓ **Ensure compliance with statutory content**
 - ✓ **Effective grant funding processes**
 - ✓ **Improve communication, strengthen relationships**



4. OBJECTIVES

- ✓ Encourage strategic partnerships and stakeholders' engagement
 - ✓ Capacity building and empowerment of beneficiaries
 - ✓ Create instruments for impact assessment
 - ✓ Align the NLB's funding approach with global funding principles and international best practices
 - ✓ Support sustainable development in South Africa
 - ✓ Contribute to Nation Building and Transformation
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5. RESEARCH METHODOLOGY

- Literature procedure and stakeholder engagement procedure
- Qualitative data collection
- Consultative processes and procedures
 - ✓ Workshops with NLB management and staff
 - ✓ Workshops with DAs
 - ✓ Workshops with beneficiaries (still to take place)
- Data analysis - thematic pattern-building



6. NLB BACKGROUND

- **NLB subscribed to the following core values:**
 - ✓ **Integrity**
 - ✓ **Performance Excellence**
 - ✓ **Service Excellence**
 - ✓ **Respect**
 - ✓ **Commitment to a developmental and participatory approach to grant making**
 - ✓ **Social Consciousness**
 - ✓ **Partnership Building**



7. STATUTORY CONTENT


- **NLB is regulated by the Lotteries Act, 1997 (Act 57 of 1997)**
- **Establishment, composition and functions of the NLB are prescribed by the Act**
- **The Amendments to the Act as approved in December 2013 increase the decision-making powers of the Board, changing procedure regarding DAs, and it effects a name change to the National Lotteries Commission**



8. UNIVERSAL PRINCIPLES

- **International CSR Standards and Norms**
 - **World Lottery Association (WLA)**
 - **United Nations Millennium Development Goals**
 - **National Development Plan of South Africa/MTSF**
 - **National Funds to Support Civil Society Organisations**
 - **International Statement of Ethical Principles in Fundraising**
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8. UNIVERSAL PRINCIPLES

- **Code of Ethics & Conduct for NGOs**
 - **Codes of Good Practice for South African Non-profit Organisations (NPOs)**
 - **The Independent Code of Governance for Non-Profit Organisations in South Africa**
 - **Best Practices for Funding and Evaluating Think Tanks & Policy Research**
 - **Commonwealth Grant Guidelines, Second edition**
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9. STRATEGIC ALIGNMENT OF NLB WITH NDP/MTSF

- From the 13 NDP goals, the following 9 intervention areas may be indirectly supported by the NLB:
 - ✓ Economy and employment
 - ✓ Transition to a low carbon economy
 - ✓ Inclusive rural economy
 - ✓ Human Settlements
 - ✓ Improving education, innovation and training
 - ✓ Promoting health
 - ✓ Social protection
 - ✓ Promoting accountability and fighting corruption
 - ✓ Transforming society and uniting the country



10. SECTORS FUNDED BY THE NLB

- **Charities – 45%**
- **Sports and Recreation – 22%**
- **Arts, Culture and National Heritage – 28%**
- **Miscellaneous – 5%**



11. NLB NORMS AND STANDARDS FOR GRANT FUNDING

- **The Funding Process and Funding Models**
 - ✓ **Call-driven Strategy**
 - ✓ **Proactive Funding**
- **Categories for Funding**
- **Distribution of Available Funds to the Categories and Provinces**
- **Prioritised Funding within the Categories**




11. NLB NORMS AND STANDARDS FOR GRANT FUNDING

- **Funding process:**
 - ✓ **Call for Funding Application**
 - ✓ **Receipt and Screening of Applications**
 - ✓ **Pre-Adjudication Processing and Assessment of Applications**
 - ✓ **Adjudication Process**
 - ✓ **Post-Adjudication Process and Payment of Grant**
 - ✓ **Monitoring, Evaluation and Reporting**



12. BENEFITS TO NLB & STAKEHOLDERS

- **Renewed focus on core values of the NLB (e.g. integrity and service excellence)**
 - **Clarification of critical areas (e.g. prioritised funding and accessibility to funding opportunities)**
 - **Reiterating the importance of stakeholder participation (e.g. input at Indabas and workshops with beneficiaries)**
 - **Enhancement of a sustainable funding model in terms of the NDP/MTSF**
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13. GUIDELINES FOR SUCCESSFUL APPLICATIONS

- **Familiarise with current trends in the donor environment**
- **Understand whether organisation match the objectives and meet the criteria of the prospective donor/s**
- **Formulate a strategy on how to approach funders**



THANK YOU!

